



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s):)
Lindquist et al.)
Serial No: 09/591,632) Title: Recombinant Prion-Like
Filed: June 9, 2000) Genes and Proteins and Materials
) and Methods Comprising Same
)

TRANSMITTAL OF EXECUTED DECLARATION

Commissioner for Patents
Washington, D.C. 20231

Attention: BOX MISSING PART

Sir:

Submitted herewith are executed Declarations for filing in the above-identified application, in response to the Notice to File Missing Parts issued by the Patent and Trademark Office on August 24, 2000. This response is timely filed with a petition and fee for one month extension of time, extending the period for response until November 24, 2000.

CERTIFICATE OF MAILING (37 CFR 1.8)

I hereby certify that this paper and the documents referred to as enclosed therewith are being deposited with the United States Postal Service as first class mail, postage prepaid, on November 22, 2000 in an envelope addressed to Commissioner for Patents, Washington, D.C. 20231.



David A. Gass

Also enclosed is (1) a copy of the Notice, (2) two Verified Statements Claiming Small Entity Status for the two organizations that may have an interest in this patent application, and (3) our check in the amount of \$997.00 in payment of the filing fee (\$355), extra claim fee (\$522), extension fee (\$55), and \$65.00 surcharge for late filing of the declaration for small entity. A request for issuance of a corrected filing receipt also is enclosed.

The Commissioner is hereby authorized to charge any deficiency in the amount enclosed or any additional fees which may be required under 37 CFR 1.16 or 1.17 to Deposit Account No. 13-2855. A copy of this request is enclosed.

Please refund any overpayment to Marshall, O'Toole, Gerstein, Murray & Borun at the address below.

Respectfully submitted,

MARSHALL, O'TOOLE, GERSTEIN,
MURRAY & BORUN
6300 Sears Tower
233 South Wacker Drive
Chicago, Illinois 60606-6402
(312) 474-6300

By:



David A. Gass
Reg. No: 38,153

November 22, 2000



RECEIVED

FEB 06 2001

Receipt

PATENT

Attorney Docket No: 27373/34978A

TECH CENTER 1600/2900

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Lindquist et al.

) **CERTIFICATION UNDER 37 CFR 1.10**

Serial No.: 09/591,632

) I hereby certify that this paper is being deposited with
the United States Postal Service as first class mail
postage prepaid, on November 22, 2000, in an envelope
addressed to the Assistant Commissioner for Patents,
Washington, D.C. 20231.

Filed: June 9, 2000

)

For: Recombinant Prion-Like Genes
and Proteins and Materials and Methods
Comprising Same

)

Group Art Unit: 1644

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Examiner: to be determined

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FILING RECEIPT



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UNITED STATES DEPARTMENT OF
COMMERCE

Patent and Trademark Office

Address: ASSISTANT SECRETARY AND
COMMISSIONER OF PATENT AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/591,632	06/09/2000	1644	0	27373/34978A	9	38	12

Marshall O'Toole Gerstein
 Murray & Borun
 6300 Sears Tower
 233 South Wacker Drive
 Chicago, IL 60606-6402



RECEIVED

FEB 06 2001

TECH CENTER 1600/2900

Date Mailed: 08/24/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Chicago ILLINOIS

Susan Lindquist, Residence Not Provided;
 Liming Li, Residence Not Provided; CHICAGO ILLINOIS
 Jia-Jia Liu, Residence Not Provided; CHICAGO ILLINOIS
 Neal Sondheimer, Residence Not Provided; CHICAGO ILLINOIS
 Thomas Scheibel, Residence Not Provided; CHICAGO ILLINOIS

Add'l SIYAN MA (Inventor)
 Chicago ILLINOIS

Continuing Data as Claimed by Applicant

Applicant claims priority from

~~U.S.~~ Provisional Application No. 06/138,833 filed June 9, 1999

Foreign Applications

If Required, Foreign Filing License Granted 08/24/2000

BEST AVAILABLE COPY

Title

Recombinant prion-like genes and proteins and materials and methods comprising same

Preliminary Class

435

Data entry by : MONROE, BEULAH

Team : OIPE

Date: 08/24/2000



- LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 36 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

PLEASE NOTE the following information about the Filing Receipt:

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
 - The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
 - The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
 - The docket number allows a maximum of 25 characters.
 - If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."

Any corrections that may need to be done to your Filing Receipt should be directed to:

**Assistant Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, DC 20231**

FORMALITIES LETTER



OC00000005351757

UNITED STATES DEPARTMENT OF
COMMERCE
Patent and Trademark OfficeAddress: COMMISSIONER OF PATENT AND TRADEMARKS
Washington, D.C. 20231

XX3

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
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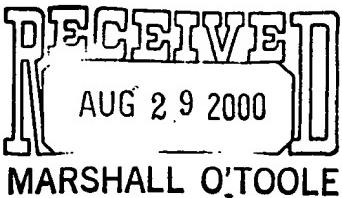
09/591,632

06/09/2000

Susan Lindquist

27373/34978A

Marshall O'Toole Gerstein
 Murray & Borun
 6300 Sears Tower
 233 South Wacker Drive
 Chicago, IL 60606-6402



Date Mailed: 08/24/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$1026.
 - \$324 for 18 total claims over 20.
 - \$702 for 9 independent claims over 3 .
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1846.

Docketed: 10/24/00*A copy of this notice MUST be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

11/30/2000 ETULU1 0000001 09591632

01 FC:201	355.00 OP
02 FC:203	162.00 OP
03 FC:202	360.00 OP
04 FC:205	65.00 OP